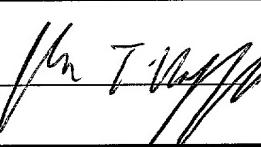
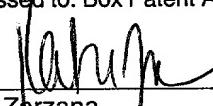
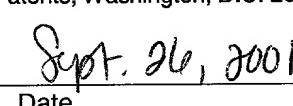


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U.S. PTO

09-27-01 A

Utility Patent Application Transmittal		Attorney Docket No.: E-5-2 First Inventor: David C. Hovda et al. Title: Systems and Methods for Electrosurgical Treatment of Turbinates Express Mail Label No.: ET156783200US
APPLICATION ELEMENTS		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.</p> <p>3. <input checked="" type="checkbox"/> Specification - Total Pages <u>44</u> - Descriptive title of the invention - Cross Reference to Related Applications - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claims - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawings – Total Sheet <u>18</u></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration – Total Pages <u>3</u> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) i. <input type="checkbox"/> Deletion of Inventors</p> <p>6. <input type="checkbox"/> Application Data Sheet</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Specification Sequence Listing on i. <input type="checkbox"/> CD-ROM or CD-R or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p>		
ACCOMPANYING APPLICATION PARTS		
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet/documents)</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (PTO-1449)</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document</p> <p>16. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(I)</p> <p>17. <input type="checkbox"/> Other _____</p>		
<p>18. <input checked="" type="checkbox"/> If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.</p> <p><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP)</p> <p>of prior application No.: <u>09/480,880</u> filed <u>January 10, 2000</u></p> <p>Prior application information: Examiner: <u>L. Cohen</u> Group Art Unit: <u>3739</u></p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>		
<p>19. Correspondence Address: Customer Number 021394</p>		
 John T. Raffle Reg. 38,585		 Date <u>9/25/01</u>
CERTIFICATE OF MAILING		
<p>I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. <u>ET156783200US</u> and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231</p>		
 Katie Zarzana		 Date <u>Sept. 26, 2001</u>

J1042
09/26/01
U.S. PTO
**FEE TRANSMITTAL
for FY 2001**
TOTAL AMOUNT OF PAYMENT \$355.00Application No.: *unassigned*Filing Date: *herewith*

First Named Inventor: David C. Hovda et al.

Examiner Name:

Group Art Unit:

Attorney Docket No.: E-5-2

METHOD OF PAYMENT**FEE CALCULATION (continued)**

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account No. 50-0359

ArthroCare Corporation

- [X] Charge any additional fee required under 37 CFR 1.16 and 1.17
[X] Applicant claims small entity status.

2. [] Payment Enclosed

FEE CALCULATION**1. [X] BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) \$355**2. [] EXTRA CLAIM FEES**

Total Claims	- 20** =	x	=
Independent	- 3** =	x	=
Claims			
Multiple Dependent			=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dep. Claim
109 80	209 40	**Reissue indp. over orig.
110 18	210 9	**Reissue clms over 20

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	Request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner Action	
113 1,840	113 1,840*	Requesting publication of SIR after Examiner Action	
115 110	215 55	Extension for reply within 1 st month	
116 390	216 195	Extension for reply within 2 nd month	
117 890	217 445	Extension for reply within 3 rd month	
118 1,390	218 695	Extension for reply within 4 th month	
128 1,890	228 945	Extension for reply within 5 th month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of IDS	
581 40	581 40	Recording assignment per property	
146 710	246 355	Filing a submission after final	
149 710	249 355	For each additional invention to be examined	
179 710	279 355	Request for Continued Examination	
169 900	169 900	Request for expedited examination of a design application	

Other fee: _____

SUBTOTAL (3) \$ _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

John T. Raffle
Reg. 38,585
Ph: (408) 736-0224

Date

9/25/01